

Circular No.: NSDL/POLICY/2017/0003

Date: January 16, 2017

Subject: The Financial Action Task Force (FATF) public statement dated October 21, 2016 regarding FATF identified jurisdictions that have strategic Anti Money Laundering and Combating the Financing of Terrorism (AML / CFT) deficiencies.

All Participants are hereby informed that FATF in its on-going efforts to identify and work with jurisdictions with strategic AML / CFT deficiencies, has released fresh statements titled 'FATF Public Statement – October 21, 2016' and 'Improving Global AML / CFT Compliance: On-going process- October 21, 2016'. The aforesaid FATF statements are available at <http://www.fatf-gafi.org>.

Participants are advised to take note of the above and ensure compliance.

For and on behalf of
National Securities Depository Limited

**Ravindra Sahu
Senior Manager**

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	1. Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (July - December)	January 31st every year.	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006 and Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010.

